

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: J. M. PACKES, JR., et al. ) Customer No.: 22927  
 )  
 For: METHOD AND SYSTEM FOR ) Examiner: Not Yet Assigned  
 PROCESSING A REBATE )  
 )  
 Serial No.: Not Yet Assigned ) Group Art Unit: Not Yet Assigned  
 )  
 Filing Date: February 16, 2000 ) Docket No.: 99-025

jc639 U.S. PTO  
 09/505361  
 02/16/00

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, DC 20231

## TRANSMITTAL LETTER

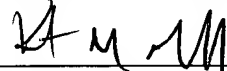
Dear Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Certificate of Express Mailing No. EL420982502US;
2. Utility Patent Application Transmittal (in duplicate);
3. Patent Application (32 pg.);
4. Formal Drawings (7 pgs. Figs. 1-7);
5. Postcard.

The Assistant Commissioner is hereby authorized to charge the associated fee in the amount of \$1,292.00 to Deposit Account No. 50-0271. Order No. 99-025. The Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 99-025. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,



Kurt M. Maschoff  
 Attorney for Applicants  
 PTO Reg. No. 38,235  
 Walker Digital Corporation  
 One High Ridge Park  
 Stamford, CT 06905

February 16, 2000

## Certificate of Express Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service via Express Mail in an envelope labeled EL420982502US with sufficient postage addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231 on February 16, 2000.

Veronika S. Leliever  
 Typed Name of Person Making Deposit

VS. Leliever 02/16/2000  
 Signature Date of deposit

2-17-00

A

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
99-025Total Pages in this Submission  
39**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for invention entitled:

**METHOD AND SYSTEM FOR PROCESSING A REBATE**

and invented by:

**PACKES, JR. John M.; WALKER, Jay S.; JORASCH, James A.; TEDESCO, Daniel E.; BEMER, Keith; DICKERSON, John B.**If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ C ntinuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ C ntinuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☐ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 32 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
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39

## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal                      Number of Sheets 7
- b. ☐ Informal                      Number of Sheets \_\_\_\_\_
4. ☐ Oath or Declaration
- a. ☐ Newly executed (original or copy)      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☐ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class      ☒ Express Mail (Specify Label No.): EL420982502US

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
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Total Pages in this Submission  
39

## Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Additional Enclosures (please identify below):

## Fee Calculation and Transmittal

### CLAIMS AS FILED

| For  | #Filed | #Allowed | #Extra | Rate      | Fee        |
|--|--------|----------|--------|-----------|------------|
| Total Claims   | 43     | - 20 =   | 23     | x \$18.00 | \$414.00   |
| Indep. Claims  | 4      | - 3 =    | 1      | x \$78.00 | \$78.00    |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |        |          |        |           | \$0.00     |
| BASIC FEE  |        |          |        |           | \$760.00   |
| OTHER FEE (specify purpose) Assignment                                   |        |          |        |           | \$40.00    |
| TOTAL FILING FEE   |        |          |        |           | \$1,292.00 |

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,292.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

Dated: February 16, 2000

Kurt M. Maschoff  
Attorney for Applicants  
PTO Reg. No. 38,235  
Walker Digital Corporation  
One High Ridge Park  
Stamford, CT 06905  
Telephone: (203) 905-6531  
Facsimile: (203) 595-8266



22927

PATENT TRADEMARK OFFICE

cc:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **PACKES, JR. ET AL.**

Docket No.

99-025

Serial No.

Not Assigned Yet

Filing Date

February 16, 2000

Examiner

Not Assigned Yet

Group Art Unit

Not Assigned Yet

Invention:

**METHOD AND SYSTEM FOR PROCESSING A REBATE**I hereby certify that this **PATENT APPLICATION**

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on February 16, 2000

(Date)

**Veronika S. Leliever**

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

**EL420982502US**

("Express Mail" Mailing Label Number)

**Note: Each paper must have its own certificate of mailing.**